

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kozo Nishimura

Serial No.: 10/531,337

Filed: April 14, 2005

For: FILE MANAGEMENT DEVICE, FILE  
MANAGEMENT METHOD, FILE  
MANAGEMENT PROGRAM, AND  
RECORDING MEDIUM

Patent Examiner:

Group Art Unit:

October 14, 2005

Costa Mesa, California 92626

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS  
UNDER 35 USC 371**

Mail Stop PCT  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Notification of Missing Requirements Under 35 USC 371 mailed  
08/23/2005, enclosed is the executed oath for filing in the above-identified case. Also, attached is a  
copy of the 371 Formalities Letter.

Please charge the surcharge of \$130.00 for late filing of this oath to our deposit account  
No. 19-2814.

If there are any questions with regard to this matter, please do not hesitate to contact the  
undersigned attorney.

I hereby certify that this correspondence is being  
deposited with the U.S. Postal Service as first class  
mail in an envelope addressed to Mail Stop PCT,  
Commissioner for Patents, PO Box 1450,  
Alexandria, VA 22313-1450 on October 14, 2005 by  
Candy Noh

10/20/2005 MAYPAGH 0000011 192814 10531337

01 FC:1617

130.00

Signature

Date of Signature: October 14, 2005

Very truly yours,

SNELL & WILMER LLP

Joseph W. Price, Reg. No. 25,124  
600 Anton Boulevard, Suite 1400  
Costa Mesa, CA 92626-7689  
Tel: 714-427-7420  
Customer No. 52044



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/531,337	Kozo Nishimura	92478-2000

INTERNATIONAL APPLICATION NO.
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PCT/JP03/14968

LA. FILING DATE	PRIORITY DATE
11/25/2003	11/25/2002

52044  
 SNELL & WILMER L.L.P.  
 600 ANTON BOULEVARD  
 SUITE 1400  
 COSTA MESA, CA 92626

CONFIRMATION NO. 8656  
 371 FORMALITIES LETTER  
 \*OC000000016720247\*  
 \*OC000000016720247\*

Date Mailed: 08/23/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/14/2005
- English Translation of the IA filed on 04/14/2005
- Copy of the International Search Report filed on 04/14/2005
- Copy of IPE Report filed on 04/14/2005
- Information Disclosure Statements filed on 04/14/2005
- Oath or Declaration filed on 04/14/2005
- U.S. Basic National Fees filed on 04/14/2005
- Priority Documents filed on 04/14/2005

RECEIVED  
 AUG 29 2005  
 SNELL & WILMER

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.16(f) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

RESPONSE DUE 10-23-05  
 ACTION Oath + Fee

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

WINSTON M ALVARADO

Telephone: (703) 308-9140 EXT 206

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/531,337	PCT/JP03/14968	92478-2000